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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/259,427	02/26/1999	MARSHALL A. SLOO	27080	5283

7590

05/22/2002

THOMAS B LUEBBERING
HOVEY WILLIAMS TIMMONS & COLLINS
2405 GRAND BOULEVARD
SUITE 400
KANSAS CITY, MO 64108

EXAMINER

NGUYEN, NGA B

ART UNIT

PAPER NUMBER

3628

DATE MAILED: 05/22/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

Advisory ActionApplication No.
09/259,427

Applicant(s)

Sloo

Examiner

Nga B. Nguyen

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

THE REPLY FILED Apr 9, 2002 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.

Therefore, further action by the applicant is required to avoid the abandonment of this application. A proper reply to a final rejection under 37 CFR 1.113 may only be either: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114.

THE PERIOD FOR REPLY [check only a) or b)]

- a) ☒ The period for reply expires two months from the mailing date of the final rejection.
- b) ☐ In view of the early submission of the proposed reply (within two months as set forth in MPEP § 706.07 (f)), the period for reply expires on the mailing date of this Advisory Action, OR continues to run from the mailing date of the final rejection, whichever is later. In no event, however, will the statutory period for the reply expire later than SIX MONTHS from the mailing date of the final rejection.

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

1. ☐ A Notice of Appeal was filed on _____. Appellant's Brief must be filed within the period set forth in 37 CFR 1.192(a), or any extension thereof (37 CFR 1.191(d)), to avoid dismissal of the appeal.
2. ☐ The proposed amendment(s) will be entered upon the timely submission of a Notice of Appeal and Appeal Brief with requisite fees.
3. ☐ The proposed amendment(s) will not be entered because:
- (a) ☐ they raise new issues that would require further consideration and/or search. (See NOTE below);
- (b) ☐ they raise the issue of new matter. (See NOTE below);
- (c) ☐ they are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or
- (d) ☐ they present additional claims without cancelling a corresponding number of finally rejected claims.

NOTE: _____

4. ☐ Applicant's reply has overcome the following rejection(s):

5. ☐ Newly proposed or amended claim(s) _____ would be allowable if submitted in a separate, timely filed amendment cancelling the non-allowable claim(s).
6. ☒ The a) ☐ affidavit, b) ☐ exhibit, or c) ☒ request for reconsideration has been considered but does NOT place the application in condition for allowance because:
(see the attached written explanation)
7. ☐ The affidavit or exhibit will NOT be considered because it is not directed SOLELY to issues which were newly raised by the Examiner in the final rejection.
8. ☒ For purposes of Appeal, the status of the claim(s) is as follows (see attached written explanation, if any):
Claim(s) allowed: _____
Claim(s) objected to: _____
Claim(s) rejected: 1-20
9. ☐ The proposed drawing correction filed on _____ a) ☐ has b) ☐ has not been approved by the Examiner.
10. ☐ Note the attached Information Disclosure Statement(s) (PTO-1449) Paper No(s). _____
11. ☐ Other: _____

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ADVISORY ACTION

1. This Advisory Action is the answer to the Request for reconsideration filed on April 9, 2002, which paper has been placed of record in the file.
2. Claim 1-20 are pending in this application.

Response to Arguments

3. Regarding to claims 1 and 8, Jensen does not disclose the witness selects an authority to whom the incident report should be sent, wherein the authority is selected based at least in part on information provided by the witness and sending the incident report to the selected authority so that the authority can respond to the incident report. Official notice is taken selecting the person to whom the information should be sent based on the contain of information and sending the information to the selected persons via such as electronic mail are old and well-known in the art. For example, in the electronic mail system, the sender accesses the message creation and transmission window, the message sender then created the message, the message sender then accesses the directory such as the address book and selects the recipient to whom the message should be sent based on the information contain in the message. Or, the sender can create a document first and then attach the document in the electronic mail message. Thus, the process of sending an electronic mail message included an attachment from a sender to the selected recipient is well-known in the art. Therefore, it would have been obvious to one with ordinary skill in the

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art at the time the invention was made to include the features above with Jensen's for the purpose of sending the information to the selected persons (Prior art is provided: Horovitz et al., US 5,812,759).

Regarding to claim 2, Jensen does not disclose the incident being selected from the group consisting of a criminal act, a legal violation, a sale of a defective product, and a rendering of an unsatisfactory service. Official notice is taken that it is obvious to modify the Jensen's incident by the incident committed by an offender such as a criminal act, a legal violation, etc..., so the process of collecting information directly from a user will work the same for Jensen (Prior art is provided: Stickney et al., US 6,043,813).

Regarding to claim 6, Jensen does not directly the additional identification information being obtained by receiving the additional identification information from the authority based on the identification information entered by the witness. However, Jensen does teach when the user accesses the system database, he/she need to sign-on by provide sign-in code and password (see figures 16-17), and then the user can create or modify the system database (see column 12, lines 49-65). Therefore, it is obvious in Jensen's to receive additional identification information from the authority based on the identification information entered by the witness. In Jensen, the authorized user can provide additional identification information to the select specific records, thus modifying the select specific records.

Regarding to claim 7, Jensen does not disclose the authority is automatically selected by the computer system based on information entered into the incident report by the witness. Official

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notice is taken that the computer system is automatically selecting the person or the data based on the information the user entered is well known in the art. Therefore, it would have been obvious to one with ordinary skill in the art at the time the invention was made to include the feature above with Jensen's for the purpose of sending the information to the person selected by the computer system (Prior art is provided: Costin, US 6,178,413).

Regarding to claims 9-10, Jensen further discloses receiving into the computer system an action report from the authority explaining the action the authority took in response to the incident report and storing the action report along with the incident report in a file accessible by the computer system (figure 10, item 72).

Conclusion

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to examiner Nga B. Nguyen, whose telephone number is (703)306-2901. The examiner can normally be reached on Monday-Thursday from 7:30 AM-5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Vincent A. Millin, can be reached on (703)308-1065.

5. **Any response to this action should be mail to:**

Commissioner of Patents and Trademarks
c/o Technology Center 2700
Washington, D.C. 20231

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or faxed to:

(703) 308-9051, (for formal communications intended for entry)

or:

(703) 308-5397 (for informal or draft communications, please label
"PROPOSED" or "DRAFT")

Hand-delivered responses should be brought to Crystal Park II,
2121 Crystal Drive, Arlington.
VA., Sixth Floor (Receptionist).

Any inquiry of a general nature or relating to the status of this application or proceeding
should be directed to the Group receptionist whose telephone number is (703)305-3900.

Nga B. Nguyen
May 16, 2002


VINCENT MILLIN
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 3800